

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended on 31.03.2021

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

1	CIN	U27100MH1980PTC022322
2	Registration Date	11-03-1980
3	Name of the Company	ASSOCIATED SMELTERS PRIVATE LIMITED
4	Category/Sub-category of the Company	Company limited by shares Indian Non-Government Company
5	Address of the Registered office & contact details	W-60/N 7 TTC-M I D C Area, Thane, Belapur Road, Rabale, Mumbai, Maharashtra-400701, India.
6	Whether listed company	Unlisted
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	NA
8	Website	www.indiamart.com/associatedsmelters

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10% or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Manufacture of basic chemical elements	20116	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

SN	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1					

IV. SHARE HOLDING PATTERN

(Equity share capital breakup as percentage of total equity)

(i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 1-April-2020]	No. of Shares held at the end of the year [As on 31-March-2021]	% Change during the

i) Indian	-	-	0.00%	-	-	-	0.00%	0.00%
ii) Overseas	-	-	0.00%	-	-	-	0.00%	0.00%
b) Individuals	-	-	-	-	-	-	-	-
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	5.360	6.30%	-	5.360	5.360	5.36%	0.94%
ii) Individual shareholders holding nominal share capital in excess of Rs. 1	-	-	0.00%	-	-	-	-	0.00%
c) Others (specify)	-	-	-	-	-	-	-	-
Non Resident Indians	-	-	0.00%	-	-	-	0.00%	0.00%
Overseas Corporate Bodies	-	-	0.00%	-	-	-	0.00%	0.00%
Foreign Nationals	-	-	0.00%	-	-	-	0.00%	0.00%
Clearing Members	-	-	0.00%	-	-	-	0.00%	0.00%
Trusts	-	-	0.00%	-	-	-	0.00%	0.00%
Foreign Bodies - DR	-	-	0.00%	-	-	-	0.00%	0.00%
Sub-total (B)(2):-	-	5.360	6.30%	-	5.360	5.360	5.36%	-0.94%
Total Public (B)	-	5.360	6.30%	-	5.360	5.360	5.36%	-0.94%
C. Shares held by Custodian for GDRs & ADRs	-	-	0.00%	-	-	-	0.00%	0.00%
Grand Total	-	85.075	100.00%	-	1,00,075	1,00,075	100.00%	0.00%

(iii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Pledged/encumbered to total shares	No. of Shares	% of total Shares of the company	% of Pledged/encumbered to total shares	
1	Jayprakash Bhaskarrao Tipnis	59,805	70.30%	0	69,805	69.75%	0	-0.54%
2	Rashmi Jayprakash Tipnis	19,910	23.40%	0	24,910	24.89%	0	1.49%
3	Sagar J Tipnis	500	0.59%	0	500	0.50%	0	-0.09%

(iii)(a) Change in Promoters' Shareholding (please specify,

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Jayprakash Bhaskarrao						
	At the beginning of the year	01-04-2020		59,805	23.26%	59,805	23.26%
	Changes during the year		Right Issue	-	0.00%	10,000	0.14%
	At the end of the year	31-03-2021		59,805	23.26%	69,805	23.40%

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Rashmi Jayprakash						
	At the beginning of the year	01-04-2020		19,910	23.26%	19,910	23.26%
	Changes during the year		Right Issue	-	0.00%	5,000	0.14%
	At the end of the year	31-03-2021		19,910	23.26%	24,910	23.40%

(iv) Shareholding Pattern of top ten Shareholders

(Other than Directors, Promoters and Holders of GDRs and ADRs):

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Madhavi S Tipnis						
	At the beginning of the year	01-04-2020		700	0.82%	700	0.70%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-03-2021		700	0.82%	700	0.70%
2	Tanmay J Tipnis						
	At the beginning of the year	01-04-2020		500	0.59%	500	0.50%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-03-2021		500	0.59%	500	0.50%
3	Prakash B Tipnis						
	At the beginning of the year	01-04-2020		2,640	3.10%	2,640	2.64%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-03-2021		2,640	3.10%	2,640	2.64%

4	Anjali P Tipnis								
	At the beginning of the year	01-04-2020		100	0.12%	100	0.10%		
	Changes during the year			-	0.00%	-	0.00%		
	At the end of the year	31-03-2021		100	0.12%	100	0.10%		
5	Chhaya P Korde								
	At the beginning of the year	01-04-2020		250	0.29%	250	0.25%		
	Changes during the year			-	0.00%	-	0.00%		
	At the end of the year	31-03-2021		250	0.29%	250	0.25%		
6	Vikas B Gupte								
	At the beginning of the year	01-04-2020		100	0.12%	100	0.10%		
	Changes during the year			-	0.00%	-	0.00%		
	At the end of the year	31-03-2021		100	0.12%	100	0.10%		
7	Sachchidana B Bagwe								
	At the beginning of the year	01-04-2020		470	0.55%	470	0.47%		
	Changes during the year			-	0.00%	-	0.00%		
	At the end of the year	31-03-2021		470	0.55%	470	0.47%		

8	Milind Gaianan Dixit						
	At the beginning of the year	01-04-2020		100	0.12%	100	0.10%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-03-2021		100	0.12%	100	0.10%

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Jayprakash						
	At the beginning of the year	01-04-2020		59,805	70.30%	59,805	70.30%
	Changes during the year		Allot	-	0.00%	10,000	0.00%
	At the end of the year	31-03-2021		59,805	70.30%	69,805	70.30%
2	Rashmi Jayprakash						
	At the beginning of the year	01-04-2020		19,910	23.26%	19,910	23.26%
	Changes during the year		Allot	-	0.00%	5,000	0.14%

	At the end of the year	31-03-2021		19,910	23.26%	24,910	23.40%
3	Sagar J Tipnis						
	At the beginning of the	01-04-2020		500	0.59%	500	0.59%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-03-2021		500	0.59%	500	0.59%

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs./Lacs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	-	12,91,318.28	-	12,91,318.28
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	12,91,318.28	-	12,91,318.28
Change in Indebtedness during the financial year				
* Addition	-	6,07,385.76	-	6,07,385.76
* Reduction	-	-	-	-
Net Change	-	6,07,385.76	-	6,07,385.76
Indebtedness at the end of the financial year				
i) Principal Amount	-	18,98,704.04	-	18,98,704.04
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	18,98,704.04	-	18,98,704.04

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount (Rs/Lac)
		Name Jayprakash Bhaskarrao Tipnis	
		Designation Managing Director	1,50,000
1	Gross salary		-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax		

Act, 1961				
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961			-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961			-
2	Stock Option			-
3	Sweat Equity			-
4	Commission - as % of profit - others, specify			-
5	Others, please specify			-
	Total (A)			1,50,000
	Ceiling as per the Act			-

B. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount (Rs/Lac)
		Name Sagar Jayprakash Tipnis	
		Designation Director	4,94,100
1	Gross salary		-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961		-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961		-
2	Stock Option		-
3	Sweat Equity		-
4	Commission - as % of profit - others, specify		-
5	Others, please specify		-
	Total (A)		4,94,100
	Ceiling as per the Act		-

C. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount (Rs/Lac)
		Name Rashmi Jayprakash Tipnis	
		Designation Director	4,20,000

1	Gross salary				-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961				-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961				-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961				-
2	Stock Option				-
3	Sweat Equity				-
4	Commission				-
	- as % of profit				-
	- others, specify				-
5	Others, please specify				-
				Total (A)	4,20,000
				Ceiling as per the Act	

D. Remuneration to other Directors

SN.	Particulars of Remuneration	Name of Directors	Total Amount (Rs/Lac)
1	Independent Directors		
	Fee for attending board committee meetings		-
	Commission		-
	Others, please specify		-
	Total (1)		-
2	Other Non-Executive Directors		
	Fee for attending board committee meetings		-
	Commission		-
	Others, please specify		-
	Total (2)		-
	Total (B)=(1+2)		-
	Total Managerial Remuneration		-
	Overall Ceiling as per the Act		-

E. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN.	Particulars of Remuneration	Name of Key Managerial Personnel	Total Amount (Rs/Lac)
	Name		
	Designation	CEO	CFO
			CS

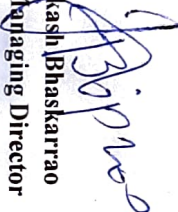
1	Gross salary							
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961							-
	(b) Value of perquisites u/s 17(2) Income-tax							-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961							-
2	Stock Option							-
3	Sweat Equity							-
4	Commission - as % of profit - others, specify							-
5	Others, please specify							-
	Total							-


VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Penalty					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					

On the behalf of Board of Director
Associated Smelters Private Limited

6th August, 2021
Thane


Jayprakash Bhaskarrao
Tipnis Managing Director
DIN: 01811156


Rashmi Jayprakash Tipnis
Director
DIN: 02559878