## FORM NO. MGT 9 EXTRACT OF ANNUAL RETURN As on financial year ended on 31.03.2021

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. RE	I. REGISTRATION & OTHER DETAILS:	
1	CIN	U27100MH1980PTC022322
2	Registration Date	11-03-1980
S	Name of the Company	ASSOCIATED SMELTERS PRIVATE LIMITED
4	Category/Sub-category of the Company	Company limited by shares
		Indian Non-Government Company
5	Address of the Registered office & contact details	W-60/N 7 TTC-M I D C Area, Thane, Belapur Road, Rabale, Mumbai, Maharashtra-
		400701, India.
6	Whether listed company	Unlisted
7	Name, Address & contact details of the Registrar &	NA
	Transfer Agent, if any.	
∞	Website	www.indiamart.com/associatedsmelters

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

_		S. No.
Manufacture of basic chemical elements		Name and Description of main products / services
20116		NIC Code of the Product/service
100	the company	% to total turnover of

_			SN	111.
			Name and address of the Company	II. TARTA TLARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES
			CIN/GLN	SOCIATE COMPANIES
			Holding/ Subsidiary/ Associate	
	held	shares	90 %	
		Section	Applicable	79

## IV. SHARE HOLDING PATTERN

(Equity share capital breakup as percentage of total equity)

## (i) Category-wise Share Holding

	-	
	Shareholders	Category of
	[As on I-April-2020]	No. of Shares held at the beginning of the year
	[As on 31-March-2021]	No. of Shares held at the end of the year
,	during the	% Change

	Dellar	rilysicai	Iotal	% of Total Shares	Demat	Physical	Total	% of Total Shares
A. Promoters					7			
(1) Indian					250			
a) Individual/ HUF	L	79,715	79,715	93.70%	-	94,715	94,715	94.64%
b) Central Govt				0.00%		-		0.00%
c) State Govt(s)		1	•	0.00%		-		0.00%
d) Bodies Corp.	•		•	0.00%				0.00%
e) Banks / FI		-	•	0.00%				0.00%
f) Any other		- ,	1	0.00%		1		0.00%
Sub Total (A) (1)	1	79,715	79,715	93.70%		94,715	94,715	94.64%
(2) Foreign								
a) NRI Individuals		1		0.00%	-	•	, 1	0.00%
b) Other Individuals	,		1	0.00%	•	-		0.00%
c) Bodies Corp.	,	ı	-	0.00%	-			0.00%
d) Any other		1	-	0.00%	ı	1	-	0.00%
Sub Total (A) (2)			-	0.00%	1		-	0.00%
TOTAL (A)	1	79,715	79,715	93.70%	,	94,715	94,715	94.64%
B. Public								
1. Institutions							8	,
a) Mutual Funds				0.00%	-	1	•	0.00%
b) Banks / FI	,	1		0.00%	-	-		0.00%
c) Central Govt	1	•	-	0.00%	,	1	,	0.00%
d) State Govt(s)			-	0.00%				0.00%
e) Venture Capital	•	1	•	0 00%	,		d	0 000/
Funds				0.00	,	1		0.00%
f) Insurance		1	-	0.00%		- -	-	0.00%
g) FIIs	,	1		0.00%		-	•	0.00%
h) Foreign Venture	ı	1		0 00%	,	-	II s	0 000/
Capital Funds	-	-	, 1	0.00%				0.00%
i) Others (specify)	ι		-	0.00%	1	ı		0.00%
Sub-total (B)(1):-				0.00%	-		r	0.00%
2. Non-Institutions	-							

Grand Total	GDRs & ADRs	Custodian for	C. Shares held by	Total Public (B)	Sub-total (B)(2):-	Foreign Bodies - DR	Trusts	Clearing Members	Foreign Nationals	Bodies	Overseas Corporate	Non Resident Indians	c) Others (specify)	in excess of Rs 1	nominal share capital	shareholders holding	ii) Individual	upto Rs. 1 lakh	nominal share capital	shareholders holding	i) Individual	b) Individuals	ii) Overseas	i) Indian
,		1		1	,	,	,			1	,	,	,		,		,	,	,	,		,	ŀ	,
85,075		,		5,360	5,360	•	1	,	1	,							,		5,500	6363		,	,	,
85,075				5.360	5,360		,	,	,							1			3,300	5 7 7 7			,	•
100.00%		0.00%		6.30%	6.30%	0.00%	0.00%	0.00%	0.00%	0.0070	0.00%	0.00%			0.0076	0 0000			0.30%				0.00%	0.00%
										,											1		1	1
1,00,075				5,360	5,360		,	,	,				,						0.360					
1,00,075		i		5,360	5,360			,	4	,					•				0.560					
100.00%		0.00%		5.36%	5.36%	0.00%	0.00%	0.00%	0.00%	0.00%	0.000	0.00%			0.000	0 000			3.36%				0.00%	0.00%
0.00%		0.00%		-0.94%	-0.94%	0.00%	0.00%	0.00%	0.00%	0.00%	0.000	0.00%			0.00%	0 000			0.94%				0.00%	0.00%

(ii) Shareholding of Promoter

-0.09°/a	0	0.50%	500	0	0.59%	500	Sagar J Tipnis	w
1.49%	0	24.89%	24,910	0	23.40%	19,910	Rashmi Jayprakash Tipnis	2
-0.54%	0	69,75%	69,805	0	70.30%	59,805	Jayprakash Bhaskarrao Tipnis	
	shares			shares				
	d to total			d to total				
	encumbere	company		encumbere				
	Pledged	the		Pledged	company			
year	Shares	Shares of		Shares	Shares   Shares of the	Shares	Shareholder's Name	SZ
during the	% of	% of total	No. of Shares	% of	No. of % of total	No. of		
shareholding					year			
% change in		it the end of t	Shareholding at the end of the year	ining of the	Shareholding at the beginning of the	Sharehold		

(iii)(a) Change in Promoters' Shareholding (please specify,

Z	Particulars	Date	Reason	Shareholding at the beginning of the year	nning of the year	Cumulative Shareholding during the	during the
						year	
				No. of shares	% of total shares	No. of shares	% of total
							shares
_	Jayprakash Bhaskarrao						
	At the beginning of the	01-04-2020	-	59.805	23.26%	508 05	59.805 23.26%
	Changes during the year		Kight	-	0.00%	10,000	0.14%
	At the end of the year	31-03-2021	Issue	59,805	23.26%	69,805	69.805 23.40%
2	J						

Particulars  Date  Reason  Shareholding at the beginning of the year  No. of shares  Shares  Shares  No. of total shares  Shares  Shares  The beginning of the year  The beginning of the year  No. of shares  No. of shares  Shares  Shares  Shares  19,910  23,26%  18sue  19,910  23,26%  24,910  23,40%	10.1076	21,710				tan Chamballa	(iv) Sharahalding Dattom of ton ton Shankalding	(iv) Ch.
Date Reason Shareholding at the beginning of the year  No. of shares % of total shares  the 01-04-2020 Right Issue - 0.00%	23.40%	24.910	23.26%	19,910		31-03-2021	At the end of the year	
Date Reason Shareholding at the beginning of the year  No. of shares % of total shares  the 01-04-2020 Right 19,910 23.26%	0.14%	5,000	0.00%	1	Issue		Changes during the year	
Date Reason Shareholding at the beginning of the year  No. of shares % of total shares	23.26%	19,910	23.26%	19,910	Right	01-04-2020	At the beginning of the	
Date Reason Shareholding at the beginning of the year  No. of shares % of total shares							Rashmi Jayprakash	_
Date Reason Shareholding at the beginning of the year  No. of shares % of total shares	shares							
Date Reason Shareholding at the beginning of the year	% of total	No. of shares	% of total shares	No. of shares				
Date Reason Shareholding at the beginning of the year		year						
	during the	Cumulative Shareholding	nning of the year	Shareholding at the begi	Reason	Date	Particulars	7 2
								2

(iv) Shareholding Pattern of top ten Shareholders
(Other than Directors, Promoters and Holders of GDRs and ADRs):

	2.640	3.10%	2,640		31-03-2021	At the end of the year	
0.00%		0.00%				Changes during the year	
2.64%	2,640	3.10%	2,640		01-04-2020	At the beginning of the	
						Prakash B Tipnis	ယ
0.50%	500	0.59%	500		31-03-2021	At the end of the year	
0.00%		0.00%	1			Changes during the year	
0.50%	500	0.59%	500		01-04-2020	At the beginning of the	
						Tanmay J Tipnis	2
0.70%	700	0.82%	700		31-03-2021	At the end of the year	
0.00%	-	0.00%				Changes during the year	
0.70%	700	0.82%	700		01-04-2020	At the beginning of the	
						Madhavi S Tipnis	_
shares							
% of total	No. of shares	% of total shares	No. of shares				
	year					Sildremolders	
during the	Cumulative Shareholding during the	nning of the year	Shareholding at the beginning of the year	Reason	Date	For each of the Top 10	V.
						1	

470 0.47% - 0.00% 470 0.47% - 0.00% 470 0.47% 100 0.10% 100 0.10% 100 0.10%	0.12% 0.00% 0.12%	100	31-03-2021	At the end of the year	
		100		Changes during the year	-
		100		Changes during the year	
			01-04-2020	At the beginning of the	
				Milind Gajanan Dixit	∞
	0.55%	470	31-03-2021	At the end of the year	
	0.00%	1		Changes during the year	T
	0.55%	470	01-04-2020	At the beginning of the	
				Sachchidana B Bagwe	7
		***************************************			
		100	31-03-2021	At the end of the year	
	0.00%	-		Changes during the year	
100 0.10%		100	01-04-2020	At the beginning of the	
				Vikas B Gupte	6
250 0.25%		250	31-03-2021	At the end of the year	Γ
	0.00%	-		Changes during the year	Ţ
250 0.25%		250	01-04-2020	At the beginning of the	Τ
				Chhaya P Korde	5
		100	31-03-2021	At the end of the year	
	0.00%	1		Changes during the year	
0 10%		100	01-04-2020	At the beginning of the	
				Anjali P Tipnis	4

(v) Shareholding of Directors and Key Managerial Personnel:

	5,000 0.14%	5,000	0.00%		Allot		Changes during the year	
	23.26%	19,910	23.26%	19,910		01-04-2020	At the beginning of the	
			4				Rashmi Jayprakash	2
Ш							4	
٥,	70.30%	69,805	70.30%	59,805		31-03-2021	At the end of the year	
٥,	0.00%	10,000	0.00%	-	Allot		Changes during the year	
٥١	70.30%	59,805	70.30%	59,805		01-04-2020	At the beginning of the	
							Jayprakash	_
	shares							
	% of total	No. of shares	% of total shares	No. of shares			Managerial Personnel	
		year					Directors and each Key	
	g during the	Cumulative Shareholding during the	inning of the year	Shareholding at the beginning of the year	Reason	Date	Shareholding of each	SN

0.59%	500	0.59%	500	31-03-2021	At the end of the year	
0.00%		0.00%	•		Changes during the year	
0.59%	500	0.59%	500	01-04-2020	At the beginning of the	
					Sagar J Tipnis	ယ
24,910 23.40%		23.26%	19,910	31-03-2021	At the end of the year	

### V. INDEBTEDNESS

At the end of the year

31-03-2021

500

0.59%

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs./Lacs)

Particulars	Secured Loans excluding	Unsecured Loans	Deposits	Total Indebtedness
	deposits			
Indebtedness at the beginning of the financial year	f the financial year			
i) Principal Amount	-	12,91,318.28	•	12,91,318.28
ii) Interest due but not paid	1	-	-	•
iii) Interest accrued but not due	-	•	-	1
Total (i+ii+iii)	_	12,91,318.28	-	12,91,318.28
Change in Indebtedness during the financial year	the financial year			
* Addition	•	6,07,385.76	-	6,07,385.76
* Reduction	•		•	•
Net Change	•	6,07,385.76	•	6,07,385.76
Indebtedness at the end of the financial year	nancial year			
i) Principal Amount	•	18,98,704.04	•	18,98,704.04
ii) Interest due but not paid	•	•	-	-
iii) Interest accrued but not due	•	-		-
Total (i+ii+iii)	1	18,98,704.04	_	18 98 704 04

# VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

	_				SZ
(a) Salary as per provisions contained in section 17(1) of the Income-tax	Gross salary	Designation	Name		Particulars of Remuneration
		Designation Managing Director	Name Jayprakash Bhaskarrao Tipnis		Name of MD/WTD/ Manager
1	-	1,50,000	(Rs/Lac)	Amount	Total

		S		4	_		ယ	1	ر			
Ceiling as per the Act	Total (A)	Others, please specify	- others, specify	- as % of profit	Commission		Sweat Equity	Stock Option	St. 1. O:	(c) Froits in lieu of salary under section 17(3) Income- tax Act, 1961	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Act, 1961
	1,50,000		•	•	•	•		•		ı	1	_

B. Remuneration to Managing Director, Whole-time Directors and/or Manag

		5		4		ယ်	2				_				SN.	
Ceiling as per the Act	Total (A)	Others, please specify	- others, specify	- as % of profit	Commission	Sweat Equity	Stock Option	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Act, 1961	Gross salary  (a) Salary as per provisions contained in section 17(1) of the Income town	Designation Director	Name		Particulars of Remuneration	o Commission of the contract o
												Director	Name Sagar JayprakashTipnis		Name of MD/WTD/ Manager	
	- 4,94,100	•					•			,		4,94,100	(Rs/Lac)	Amount	Total	

# C. Remuneration to Managing Director, Whole-time Directors and/or Manager:

				SN.
	Designation Director	Name		Particulars of Remuneration
_	Director	Name Rashmi Jayprakash Tipnis		Name of MD/WTD/ Manager
	4,20,000	(Rs/Lac)	Amount	Total

		S		4		ယ	2					-
Ceiling as per the Act	Total (A)	Others, please specify	- others, specify	- as % of profit	Commission	Sweat Equity	Stock Option	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Act, 1961	(a) Salary as per provisions contained in section 17(1) of the Income-tax	Gross salary
	4,20,000					,						

D. Remuneration to other Directors

SN.	Particulars of Remuneration
_	Independent Directors
	Fee for attending board committee meetings
	Commission
	Others, please specify
	Total (1)
2	Other Non-Executive Directors
	Fee for attending board committee meetings
	Commission
	Others, please specify
	Total (2)
	Total $(B)=(1+2)$
	Total Managerial Remuneration
	Overall Ceiling as per the Act

E. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

				S.
	Designation	Name		Particulars of Remuneration
	CEO			Z
	CFO			Name of Key Managerial Personnel
60	CS			nel
		(Rs/Lac)	Amount	Total

	5		4		۰	<b>)</b>	1	J	2					
Total	Others, please specify	- others, specify	- as % of profit	Commission		Sweat Equity		Stock Option	Income- tax Act, 1961	(c) Profits in lieu of salary under section 17(3)	(b) Value of perquisites u/s 17(2) Income-tax	section 17(1) of the Income-tax Act, 1961	(a) Salary as per provisions contained in	Gross salary
-												Ċ		
							п		*					
		•	•				1		,		•	,		

	Compounding	Punishment	Penalty	C. OT	Compo	Punishment	Penalty	B. DIR	Compounding	Punishment	Penalty	Penalty	Penalty	A. CO			,		VII. PI			5		4		ω	2		2	
(	unding	ment	,	C. OTHER OFFICERS IN DEFAULT	Compounding	ment		B. DIRECTORS	unding	ment		2		A. COMPANY			12	Type	ENALTIES / P	1 3	Total	Others, please specify	- others, specify	- as % of profit	Commission	Sweat Equity	Stock Option	(c) Profits in lieu of sulface Income- tax Act, 1961	(b) Value of pe	section 17(1) of the Income-tax Act, 1961
			2	RS IN DEFA											s Act	Companie	the	Section of	UNISHMEN			specify	fy	it'		1	×	ieu of salary ι ct, 1961	erquisites u/s 1	of the Income-
				ULT		d a		,										<b>Brief Description</b>	VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:				,					(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	(b) Value of perquisites u/s 17(2) Income-tax	section 17(1) of the Income-tax Act, 1961
																		tion	G OF OFF											
		,													imposed	Compounding fees	Punishment/	Details of Penalty	ENCES:		_							,		
															sed	ding fees	ment/	Penalty /	0	-					,					i.
																	C	Authority [RD / NCLT/					*				/4			
					$/ \left  \right $												COURTJ	ty [RD/			'									
				/														NCLT/												
			/														(give	Appeal n			,						H -			
								5		,							(give Details)	Appeal made, if any								1	1	1	•	

On the behalf of Board of Director Associated Smelters Private Limited

6th August, 2021 Thane

Jayprakash Bhaskarrao Tipnis Managing Director DIN: 01811156

Rashmi Jayprakash Tipnis Director DIN: 02559878